

011304
05909 U.S.PTO

22387 U.S.PTO
10/757794

011304
Barcode

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 004085.P036
(maximum 12 characters)

First Named Inventor Bruce M. Harper

Title: WORKPIECE ALIGNMENT ASSEMBLY

Express Mail Label No. EV 409 362 626 US

ADDRESS TO: **Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450**

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. **Fee Transmittal Form (e.g., PTO/SB/17)**
(Submit an original and a duplicate for fee processing)
2. **Applicant Claims Small Entity Status. (37 CFR 1.27)**
3. **Specification (Total Pages 30 - including title page)**
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference sequence listing, a table,
or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. **Drawings(s) (35 USC 113) (Total Sheets 14)**
5. **Oath or Declaration (Total Pages 4)**
 - a. Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 18 completed)
 - i. **DELETIONS OF INVENTOR(S)** Signed statement attached deleting
inventor(s) named in the prior application, see 37 CFR 1.63(d)(2)
and 1.33(b).
 - c. Unsigned.
6. **Application Data Sheet. (37 CFR 1.76)**
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. **Assignment Papers (cover sheet & documents(s))**
 10. _____ a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
 _____ b. Power of Attorney
 11. _____ English Translation Document (if applicable)
 12. a. Information Disclosure Statement (IDS)/PTO-1449 (or PTO/SB/08)
 b. Copies of IDS Citations
 13. _____ **Preliminary Amendment**
 14. **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**
 15. _____ Certified Copy of Priority Document(s) (if foreign priority is claimed)
 16. **Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**
 17A. _____ Claim for Foreign Priority
 17B. Other: Certificate of Express Mail with copy of itemized postcard.

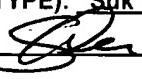
17C. Pursuant to 37 C.F.R. 1.136(a)(3), applicant(s) hereby request and authorize the U.S. Patent and Trademark Office to (1) treat any concurrent or future reply that requires a petition for extension of time as incorporating a petition for extension of time for the appropriate length of time and (2) charge all required fees, including extension of time fees and fees under 37 C.F.R. 1.16 and 1.17, to Deposit Account No. 02-2666.

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title (e.g., by way of preliminary amendment), or in an Application Data Sheet Under 37 C.F.R. 1.76:

<input type="checkbox"/> Continuation	<input type="checkbox"/> Divisional	<input type="checkbox"/> Continuation-in-part (CIP)
Of Prior Application No.: _____	Examiner _____	Group Art Unit _____
(which is a <input type="checkbox"/> continuation/ <input type="checkbox"/> divisional/ <input type="checkbox"/> CIP of prior application no. _____, which is a <input type="checkbox"/> continuation/ <input type="checkbox"/> divisional/ <input type="checkbox"/> CIP of prior application no. _____) (List entire chain of priority)		

Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority.
For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. Correspondence Address
 _____ Customer Number or Bar Code Label _____
 or _____ (Insert Customer No. or Attach Bar Code Label here)
 Correspondence Address Below
 NAME _____ Daniel E. Ovanezian
 ADDRESS _____ BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
 CITY _____ Los Angeles STATE _____ California ZIP CODE _____ 90025
 Country _____ U.S.A. TELEPHONE _____ (408) 720-8300 FAX _____ (408) 720-8383

Name (PRINT/TYPE): Suk S. L. e _____ Registration No.: 47,745 _____
 Signature:  Date: January 13, 2004

NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)

First Named Inventor Bruce M. Harper
Title WORKPIECE ALIGNMENT ASSEMBLY

Attorney Docket No. 004085.P036
Express Mail No.: EV 409 362 626 US

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

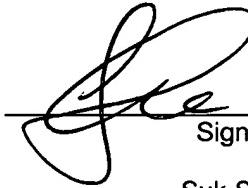
I hereby request that the attached application not be published under 35 U.S.C. 122(b).

January 13, 2004

Date

(408) 720-8300

Telephone Number



Signature

Suk S. Lee

Typed or Printed Name

47,745

Registration No.

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

011304
05900 U.S.P.T.O.**FEE TRANSMITTAL FOR FY 2004**

(FY 2004 Begins 10/01/2003)

TOTAL AMOUNT OF PAYMENT (\$) 810.00**Complete if Known:**

Application No. Not yet assigned
 Filing Date Herewith (1/13/04)
 First Named Inventor Bruce Harper
 Examiner Name Not yet assigned
 Art Unit Not yet assigned
 Attorney Docket No. 004805.P036

 Applicant claims small entity status. See 37 CFR 1.27.**METHOD OF PAYMENT** (check all that apply)

Check Credit Card Money Order Other None

Deposit Account

Deposit Account Number : 02-2666

Deposit Account Name: _____

The Director is Authorized to do the following with respect to the above-identified Deposit Account:

Charge fee(s) indicated below.

Credit any overpayments.

Charge any additional fees during the pendency of this application.

Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged.

Charge fee(s) indicated below except for the filing fee.

FEE CALCULATION**1. BASIC FILING FEE**

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
Fee	Fee	Fee	Fee		
Code	(\$)	Code	(\$)	Utility application filing fee	<u>770.00</u>
1001	770	2001	385	Design application filing fee	_____
1002	340	2002	170	Plant filing fee	_____
1003	530	2003	265	Reissue filing fee	_____
1004	770	2004	385	Provisional application filing fee	_____
1005	160	2005	80		

SUBTOTAL (1) \$ 770.00**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

			<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fee Paid</u>
Total Claims	<u>20</u>	- 20** =	<u>0</u>	X <u>18.00</u>	= <u>.00</u>
Independent Claims	<u>3</u>	- 3** =	<u>0</u>	X <u>86.00</u>	= <u>.00</u>
Multiple Dependent					= <u></u>

**Or number previously paid, if greater; For Reissues, see below.

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
Fee	Fee	Fee	Fee		
Code	(\$)	Code	(\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependent claim, if not paid	
1204	86	2204	43	**Reissue independent claims over original patent	
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) \$.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code	Fee (\$)	Fee Code	Fee (\$)
1051	130	2051	65
1052	50	2052	25
1053	130	1053	130
1812	2,520	1812	2,520
1813	8,800	1813	8,800
1804	920*	1804	920*
1805	1,840*	1805	1,840*
1251	110	2251	55
1252	420	2252	210
1253	950	2253	475
1254	1,480	2254	740
1255	2,010	2255	1,005
1401	330	2401	165
1402	330	2402	165
1403	290	2403	145
1451	1,510	1451	1,510
1452	110	2452	55
1453	1,330	2453	665
1501	1,330	2501	665
1502	480	2502	240
1503	640	2503	320
1460	130	1460	130
1807	50	1807	50
1806	180	1806	180
8021	40	8021	40
1809	770	2809	385
1814	110	2814	55
1810	770	2810	385
1801	770	2801	385
1802	900	1802	900
1504	300	1504	300
1505	300	1505	300
1803	130	1803	130
1808	130	1808	130
1454	1,330	1454	1,330

Other fee (specify) _____

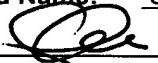
Other fee (specify) _____

SUBTOTAL (3) \$ 40.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: Suk S. Lee

Signature:  Date: January 13, 2004

Reg. Number: 47,745 Telephone Number: (408) 720-8300

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Serial/Patent No.: Not yet assigned Filing/Issue Date: Herewith (1/13/04)
Client: Komag, Inc.
Title: Workpiece Alignment Assembly

BSTZ File No.: 004085.P036 Atty/Secty Initials: DEO/SSL/den
Date Mailed: January 13, 2004 Docket Due Date: ***
The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:
 Amendment/Response (____ pgs.) Express Mail No.: EV409362626US Check No. 62337
 Appeal Brief (____ pgs.) (in triplicate) _____ Month(s) Extension of Time Amt: 770.00
 Application - Utility (30 pgs., with cover and abstract) Information Disclosure Statement & PTO-1449 (5 pgs.) Check No. 62336
 Application - Rule 1.53(b) Continuation (____ pgs.) Issue Fee Transmittal Amt: 40.00
 Application - Rule 1.53(b) Divisional (____ pgs.) Notice of Appeal
 Application - Rule 1.53(b) CIP (____ pgs.) Petition for Extension of Time
 Application - Rule 1.53(d) CPA Transmittal (____ pgs.) Petition for _____
 Application - Design (____ pgs.) Postcard
 Application - PCT (____ pgs.) Power of Attorney (____ pgs.)
 Application - Provisional (____ pgs.) Preliminary Amendment (____ pgs.)
 Assignment and Cover Sheet Signed Reply Brief (____ pgs.)
 Certificate of Mailing - Express w/PC Response to Notice of Missing Parts
 Declaration & POA (4 pgs.) Signed Small Entity Declaration for Indep. Inventor/Small Business
 Disclosure Docs & Orig & Copy of Inventor's Signed Letter (____ pgs.) Transmittal Letter, ~~in duplicate~~ Utility
 Drawings: 14 # of sheets includes 15 figures Fee Transmittal, in duplicate
 Other: Request for Nonpublication Under USC 122(b)(2)(B)(i); copies of two cited references.

Certificate of Express Mailing

"Express Mail" mailing label number: EV 409 362 626 US

Date of Deposit: January 13, 2004

I hereby certify that I am causing this paper or fee, and the documents indicated on the postcard above, to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to: MS: Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450

Dianne Neathery

(Typed or printed name of person mailing paper or fee)

Dianne Neathery

(Signature of person mailing paper or fee)

1-13-04

(Date signed)